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APPLICATIONS OF JOSEPHSON JUNCTION SQUIDS AND ARRAYS
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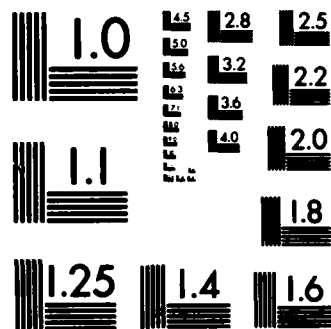
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QUARTERLY PROGRESS REPORT

TO THE
OFFICE OF NAVAL RESEARCH
CODE 414
800 N. QUINCY STREET
ARLINGTON, VA 22217

ON

APPLICATIONS OF JOSEPHSON
JUNCTION SQUIDS AND ARRAYS

CONTRACT NO. N00014-81-C-0615
OCTOBER 1982 - FEBRUARY 1983

PREPARED BY
A.H. SILVER
ADVANCED PRODUCTS LABORATORY

31 MARCH, 1983

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INTRODUCTION

This report covers the period from 1 October 1982 to 28 February 1983 following the period covered by the last annual progress report. This period included the preparation and submission of the draft of the annual report, presentation of the paper 'SQUID Voltage-Controlled-Oscillator' to the 1982 Applied Superconductivity Conference, continued fabrication and measurement of the 9GHz SQUID VCO, and design of the dc SQUID and SQUID array configuration. In addition, a number of important additions and modifications were made in the basic laboratory facilities.

PROGRESS

Work has continued on fabrication and measurements of the single resistive SQUID VCO with the 50/1 transformer ratio operating near 9GHz. Four chips are fabricated on a wafer, with different damping resistors for each one of the four devices. Figure 1 shows completed devices in an optical photograph of SQUID VCO #3 and 4; wire-bonded leads are shown on #3 for the bias current leads. Two devices are on each chip. Figure 2 shows VCO #3 mounted in the microwave holder with leads wire-bonded. This device is placed at the end of a microwave probe with a cooled circulator connected to one device and a coaxial lead connected to the other as shown in Figure 3. This coaxial line then goes directly to room temperature. Either the circulator-coupled VCO or the coaxial line can then be connected to a low noise X-band GaAs FET amplifier, followed by a spectrum analyzer. Room temperature isolators were required between the amplifier and the spectrum analyzer to eliminate disturbances from the local oscillator in the analyzer.

Figure 4 shows some output spectra from the VCO as traced from the spectrum analyzer. Each curve is for a different bias current and hence dc voltage. Resistances on these devices were about 50m ohms, which is at least 50 times larger than desired. Newer fabrication runs are now yielding bias resistance values near 1mohm, but these devices have not been tested at microwave frequencies yet. Figure 5 shows the measured I-V curve for one device. This characteristic results from the parallel combination of the usual hysteretic junction and a 60mohm resistor, and has a microbridge-like curve. The critical current is about 75 microamps, compared to the design value of 67 microamps. From the slope of the linear region we can measure the bias resistance value. The noise resolution of the measuring system is about 1 microvolt as seen in the measured curve; for more precise measurements of the dc voltage in determining the tracking of the VCO, we used a Keithley nanovoltmeter. One can notice some structure on the I-V curves at a voltage of 47 microvolts, corresponding to a frequency of about 23 MHz. This may result from some resonance in the chip structure, but its origin has not been determined.

The power spectra of Figure 4 are plotted on a linear scale. The

peak values are about -100dBm , including 30dB gain the the GaAs FET amplifier. This represents 10^{-13}W peak power measured with 300 kHz bandwidth on the spectrum analyzer. The observed spectral bandwidth is approximately 30 MHz , or a factor of 10^2 times the detection bandwidth. On the basis of a simple scaling ratio of this factor, the integrated spectral power is about 10^{-11}W . This is two orders of magnitude less than expected for these devices. Some other features of this data are the baseline response of the spectrum analyzer receiving system which is shown in the center of the graph in Figure 4. These periodic responses observed with no current to the VCO have about a 100 MHz period. The origin of this is not determined. Also the observed spectral amplitudes do not track smoothly as the voltage across the device is varied. There is some evidence of this on Figure 4, although it is hard to demonstrate in a single static picture. The amplitude of the response varies significantly as the voltage varies, and the lineshape also varies, including additional structure as seen in some of the spectra in the figure. In some cases the response covers a very large bandwidth and may even be multiple valued.

The full explanation is not known, but some comments can be made at this time. The measured response is that filtered through the $50/1$ transformer/filter which may have some unexpected bandpass and impedance characteristics. For the values of β and Q chosen, the VCO should be well-behaved. However, if the fabrication (or design) were incorrect, and particularly the reflected impedance from the transformer were not 1 ohm , the VCO behavior could be significantly changed from the ideal. This refers to spectral purity, harmonic and subharmonic content, and even anharmonic behavior as recently reported.¹ For the measured value of the bias resistance, 60ohm , the predicted value of the Johnson-noise-limited linewidth is $4.3 \times 10^7 rT$, which at 4K is 10MHz . This is about $3\times$ smaller than the observed value. A second factor is the influence of external noise on the VCO. When the VCO is directly connected to the GaAs FET amplifier at room temperature, the SQUID is subjected to 300K noise filtered only through the same $50/1$ transformer. This could easily cause serious disturbances of the oscillator as seen from the noise analysis of a SQUID parametric amplifier² of very similar design and parameter values. One would expect this effect to be strongly attenuated with a cooled circulator with a cold load; however, the results of testing the circulator displayed strong resonances which we could not explain.

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1. A. H. Silver, R. D. Sandell and J. Z. Wilcox, "SQUID Voltage-Controlled Oscillator", IEEE Trans. Magn. MAG-19 (in press) (1983).
 2. A. H. Silver, R. D. Sandell, J. P. Hurrell and D. C. Fridmore-Brown, "SQUID Parametric Amplifier", IEEE Trans. Magn. MAG-19 (in press) (1983).

We have studied the problem of designing experiments for the dc SQUID, dc SQUID pair, and dc SQUID arrays. Particularly, we were seeking a design which would be adaptable to this expanding set of devices and experiments without a major change in layout and coupling method. This problem is more acute with the SQUID arrays because the junctions are neither connected directly together nor to a common ground. We plan to fabricate both the voltage-clamped dc SQUID and SQUID pair on the same wafer using the geometry shown in Figure 6. This should provide very close coupling to the oscillating circulating currents in the SQUID without upsetting the symmetry of the SQUID and with only 3 superconducting films. The voltage clamped dc SQUID pair shown in Figure 7 can be fabricated with similar coupling geometry. In this design the voltage-clamping resistor is placed outside the SQUID itself, and is similar to a dc SQUID pair and a resistive SQUID tightly coupled with common junctions.

The design for the dc SQUID pair is obviously extendable to the linear longitudinal array with no change in layout or coupling method. For the transverse array, one needs a somewhat different geometry. Figure 8 shows the proposed layout geometry for this array. This requires that the inductors be located both above and below the junctions to which they are connected. Each inductor in the array can be fabricated out of two symmetrically placed inductors, thereby lowering the total inductance (which is desirable) and also reducing susceptibility to stray magnetic fields in the plane of the array and oriented along the line of the junctions. This design has the desirable feature of minimum inductance between the various junctions which are directly connected in the equivalent circuit.

PUBLICATIONS AND PRESENTATIONS

During this reporting period we completed the Annual Progress Report for this project and presented the paper "SQUID Voltage-Controlled-Oscillator" to the 1982 Applied Superconductivity Conference. The text of that paper was submitted for the Conference Proceedings which will be published in the IEEE Transactions on Magnetics in 1983. A copy of that paper is attached to this report.

RELATED ACTIVITIES

A number of related activities of importance to this project are being carried out in this laboratory, other contractual work and work sponsored by TRW under IR&D. Two other Navy supported contracts are:

Demonstration of SQUID Parametric Amplifier
Contract No. N00014-B1-C-2495
funded by Naval Research Laboratory

**SQUID Analog-to-Digital Converter
Contract No. N00014-82-C-0397
funded by Office of Naval Research**

It appears very likely that the SQUID VCO techniques being developed under this project will be useful in supplying an on-chip clock for the analog-to-digital converter and an integrated pump for the SQUID low noise parametric amplifier, particularly at the higher microwave and millimeter wave frequencies.

TRW is supporting Independent Research and Development to develop a complete integrated circuit fabrication process for Josephson technology based on Nb metallization and all Nb junctions, and in providing satisfactory facilities for circuit design, simulation, fabrication and testing. A second IR&D project is devoted to development of millimeter wave imaging systems using Josephson quasiparticle detectors. A four-frequency radiometer using quasiparticle detectors in the incoherent video mode is being developed for the Naval Research Laboratory flight experiment measurement program. In addition, TRW is actively exploring the development of small-scale cryocoolers for deployment of superconducting electronics systems, including in-house work on miniature compressors and discussions of potential collaborations with J. E. Zimmerman of the National Bureau of Standards and R. Longworth and W. E. Steyert of Air Products.

Improvement in the TRW capability to design, simulate, fabricate and test superconducting integrated circuitry has been proceeding along several fronts. We have acquired a stand-alone, dedicated, computer-aided design system for production of mask artwork. The basic machine was purchased at the end of 1982, and a compatible magnetic tape generating capability is being acquired this spring. This will reduce the lead time for mask generation by several weeks. Several members of the project staff have been trained in the use of this system.

A Cambridge 100 scanning electron microscope was ordered and will be delivered during the second quarter of 1983. This machine will be available full time to the Josephson activities for sample diagnostics and will also be capable of direct e-beam pattern generation over a limited field-of-view.

We have acquired, from the Josephson group at UC Berkeley, the circuit simulation package SPICE, including the Josephson model. This has now been installed on a time-sharing VAX at TRW and provides transient response of complex circuits incorporating Josephson and quasiparticle junctions. We plan to utilize this capability to predict the transient response of the SQUID arrays.

The circuit fabrication laboratory is scheduled for a major renovation this spring which will improve the air quality, humidity and temperature control, and water quality. In

addition, we plan to install an all-Nb junction process later this year. The laboratory renovation, acquisition of the CAD station and SEM, and installation of the Nb junction process represent a substantial capital investment by TRW in addition to the IR&D funding.

PLANS

During the next quarter we plan to continue with fabrication and measurements of the resistive SQUID VCO, and layout and order the masks for the dc SQUID and SQUID pair VCO. Because of problems we have experienced with measurements of the VCO, we intend to fabricate a new microwave probe using only coaxial lines and cooled coaxial circulators. We hope this will eliminate some of the observed resonances and the potential for room temperature noise to interact with the SQUID. For this purpose we have ordered new cooled coaxial circulators from P&H Labs; delivery is expected in March/April 1983. This new probe will also be fit to a 28L superinsulation dewar which has recently been fitted with high permeability and superconducting shields. This probe will permit turn-around time reduction of several days compared to that required for the large waveguide probe presently used. Also, this will permit the sample to be cooled in milligauss fields, rather than the earth's field, and should have much lower stray fields from the magnets in the circulators. (The present waveguide circulator has an electromagnet with several gauss stray field at the sample position and associated 60Hz ripple from both power supply and stray pickup). This probe will be used for both this contract and the parametric amplifier project with NRL.

The generation of the dc SQUID masks has been delayed by the acquisition of the CAD system which should be fully operational in April. After a test mask set is submitted to a mask house and is checked out, we will complete the new mask layout and order the masks. Because of possible stability problems with the present VCO designs, we may include a new single SQUID design on the new wafers. Within the present chip design, we can accommodate up to eight different devices on one wafer.

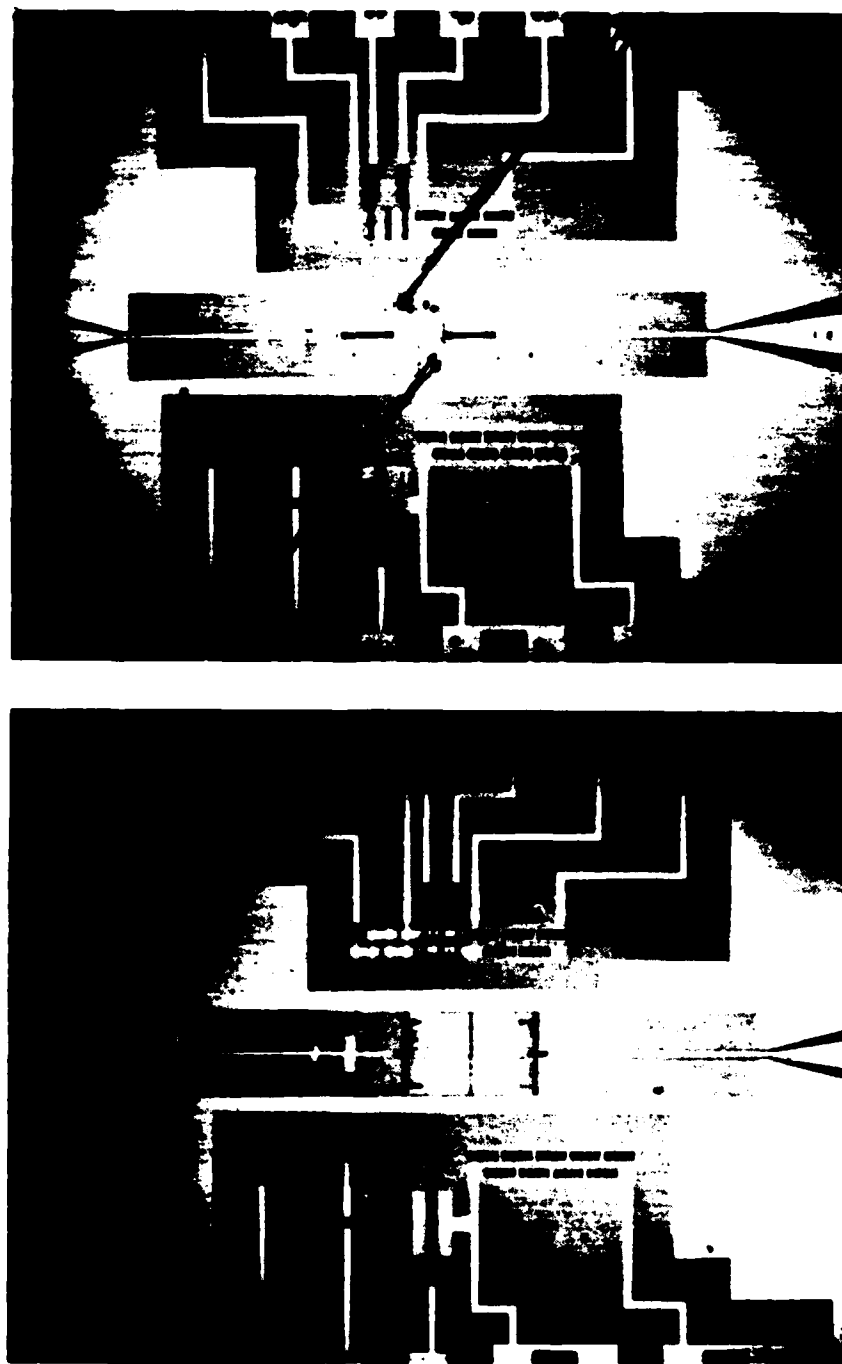


Figure 1. Photographs of the fabricated chips of SQUID voltage-controlled-oscillators #3 (upper photo) and #4 (lower photo). Only the center section of each chip is shown, with two identical devices connected to the 50/1 transformers, which are then coupled to 50 ohm coplanar lines. In the upper photo, the SQUIDs are in-line with a damping resistor off to one side of the microstrip. Wire-bonded leads are shown connected to the bias resistor pad. The structures above and below the VCO are test devices. In #4, the SQUID is transverse to the transmission line and is a figure 8 SQUID with two bias resistors. The damping resistor is in-line with the microstripline.

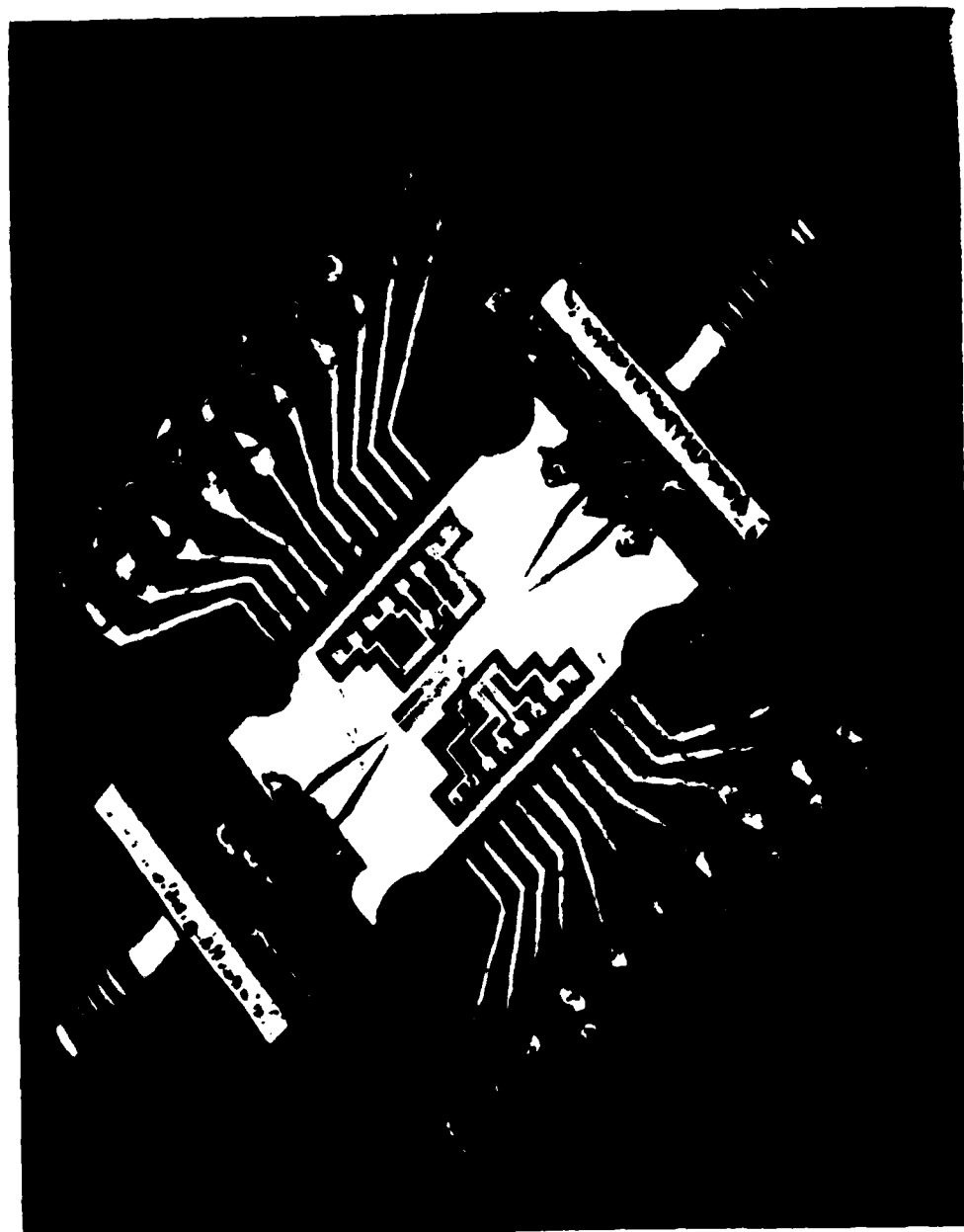


Figure 2. Photograph of SQUID VCO #3 mounted in the microwave holder. The two VCO's are connected to OSM connectors through the tapered 50 ohm coplanar lines with gold foil. DC leads are connected via wire-bonds to the adjacent connectors.

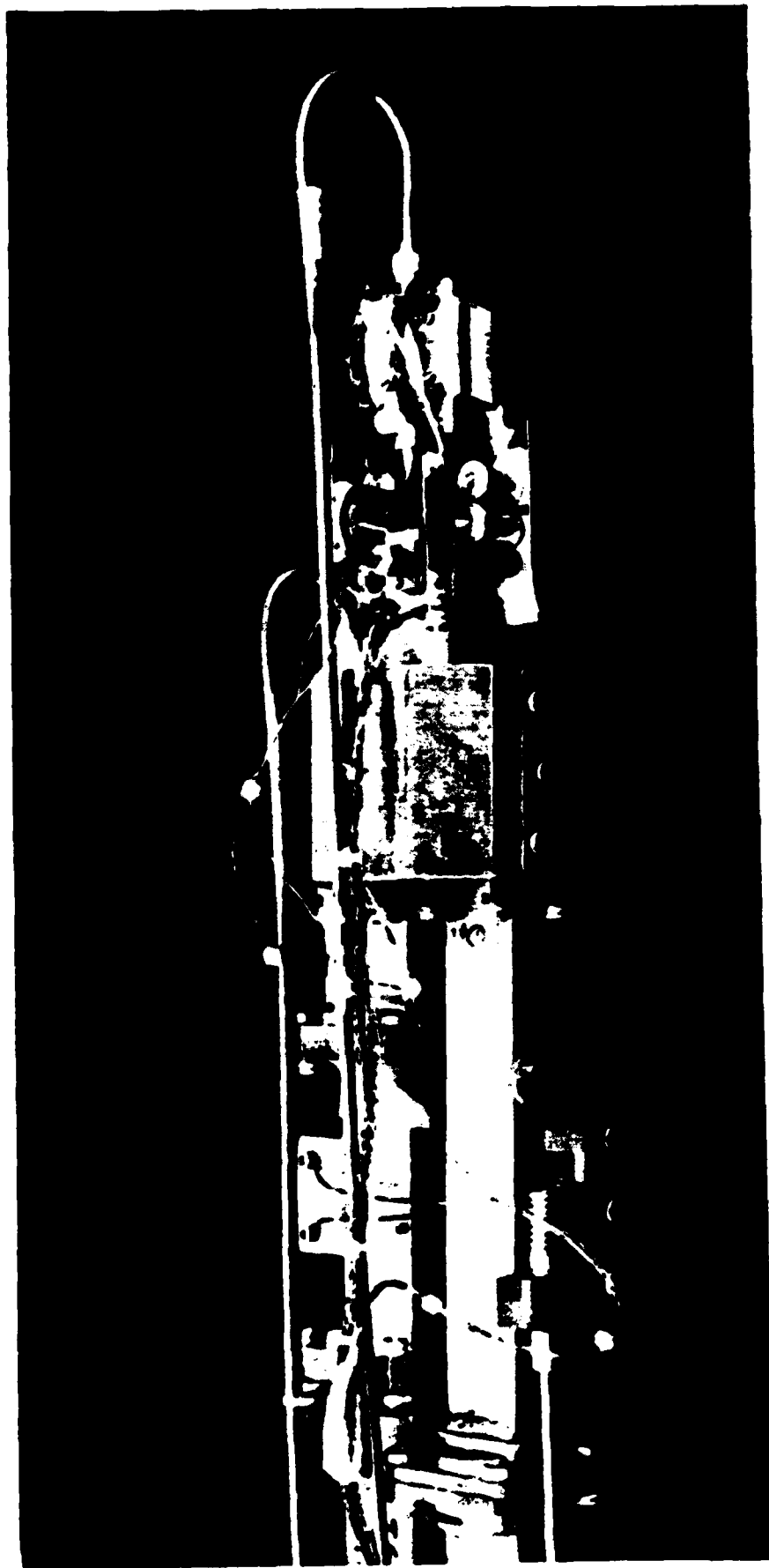


Figure 3. Photograph of the microwave probe assembly showing the stainless steel wave guides, cooled circulator, and sample assembly. A variable 30dB attenuator is in the upper waveguide to provide isolation from 300K noise in the input line. The entire assembly is immersed directly in LHe.

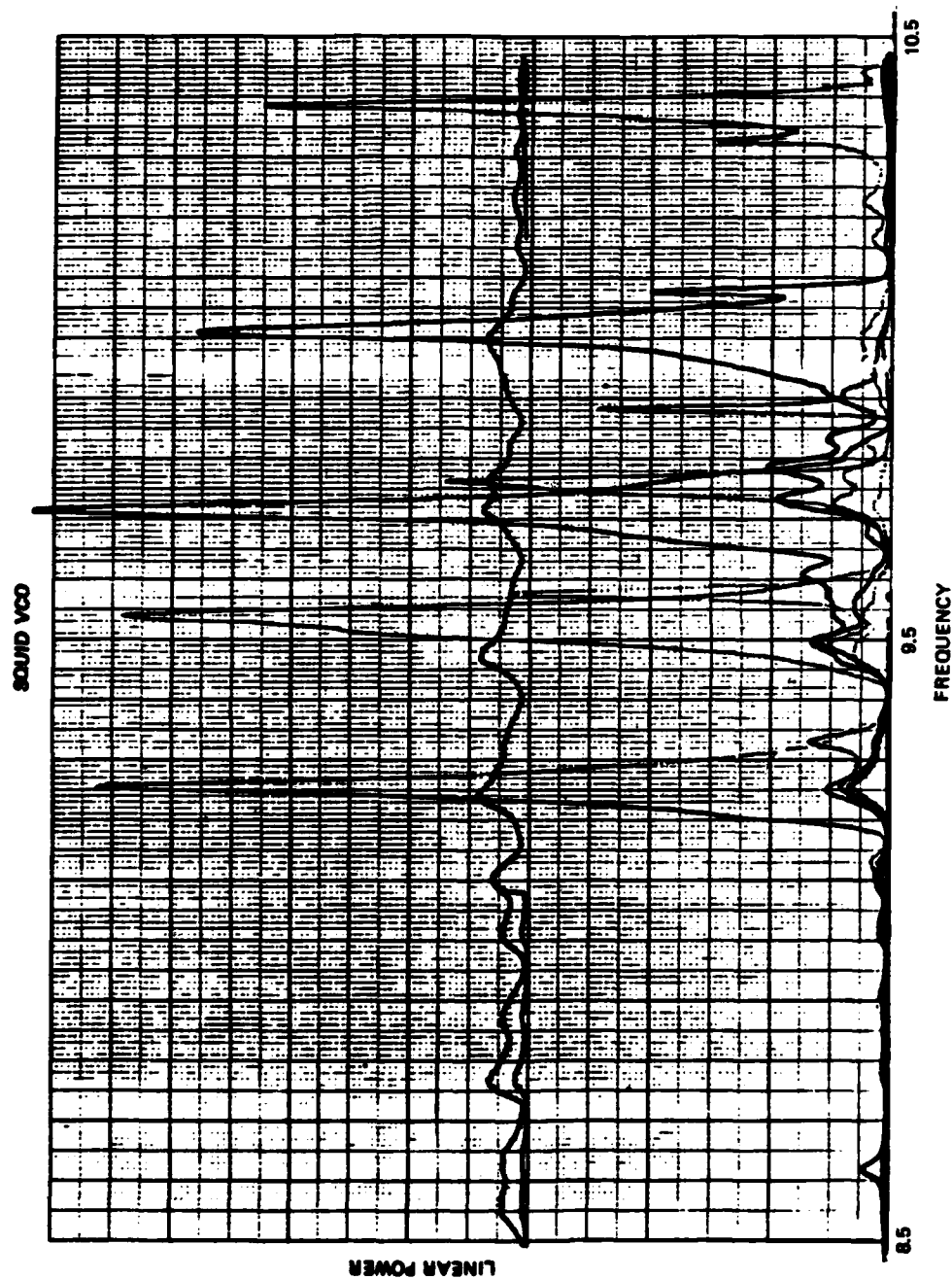


Figure 4. Recordings of the power spectra from VCO #3 measured by the spectrum analyzer with 300kHz bandwidth. The power scale is linear. The tracing near the center of the graph is the background response with the VCO in place but with no bias current.

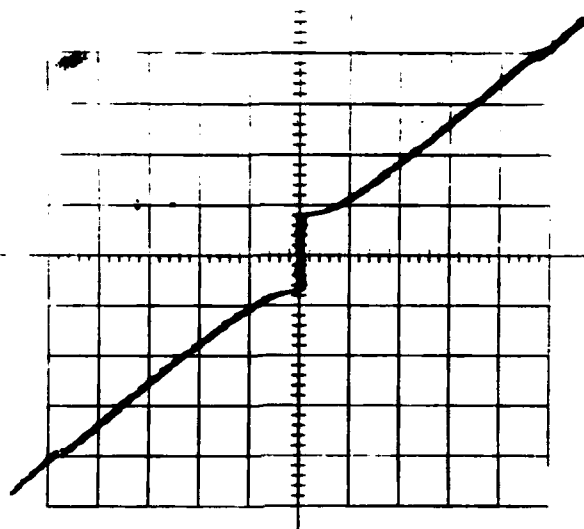
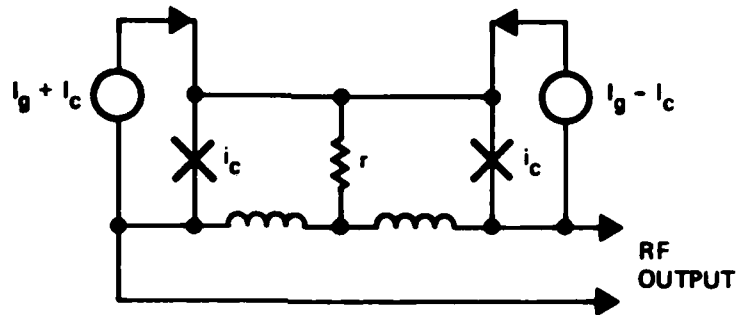
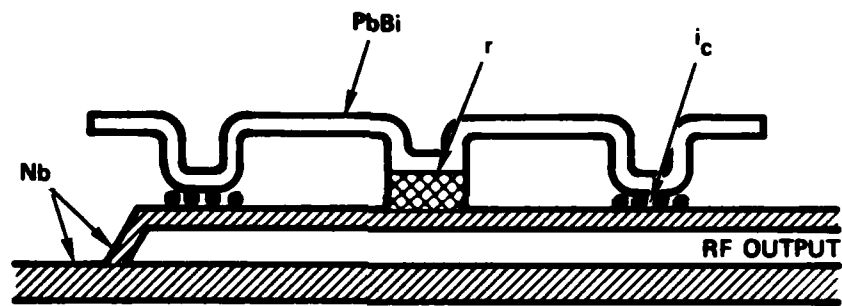


Figure 5. Current-voltage characteristic of the voltage-clamped SQUID showing the junction critical current and the nonhysteretic response of a 60mohm bias resistance. The current scale (vertical) is 200 microamp/div and the voltage scale (horizontal) is 10 microvolt/div.

dc SQUID COUPLING



EQUIVALENT CIRCUIT

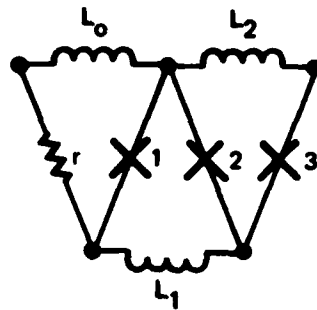


SUBSTRATE

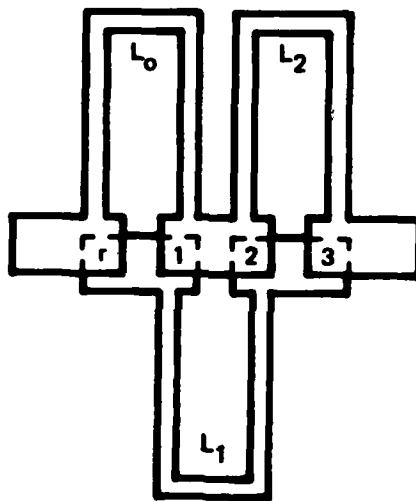
CROSS-SECTION VIEW

Figure 6. Diagram of the voltage-clamped dc SQUID VCO. The upper drawing is the equivalent circuit and the lower drawing is a vertical cross-section view of the device geometry. The lower two Nb films form a microstripline which is magnetically coupled to the SQUID inductance. The SQUID is fabricated on top of the microstripline.

dc SQUID PAIR



EQUIVALENT CIRCUIT



PLAN VIEW

Figure 7. Diagram of the voltage clamped dc SQUID pair. The voltage clamping is accomplished by a resistive SQUID which is junction-coupled to the dc SQUID pair. The upper figure is the equivalent circuit and the lower figure is a plan view of the device. This entire structure can be built above a ground plane or on a coupling microstrip.

TRANSVERSE FLUX-FLOW ARRAY

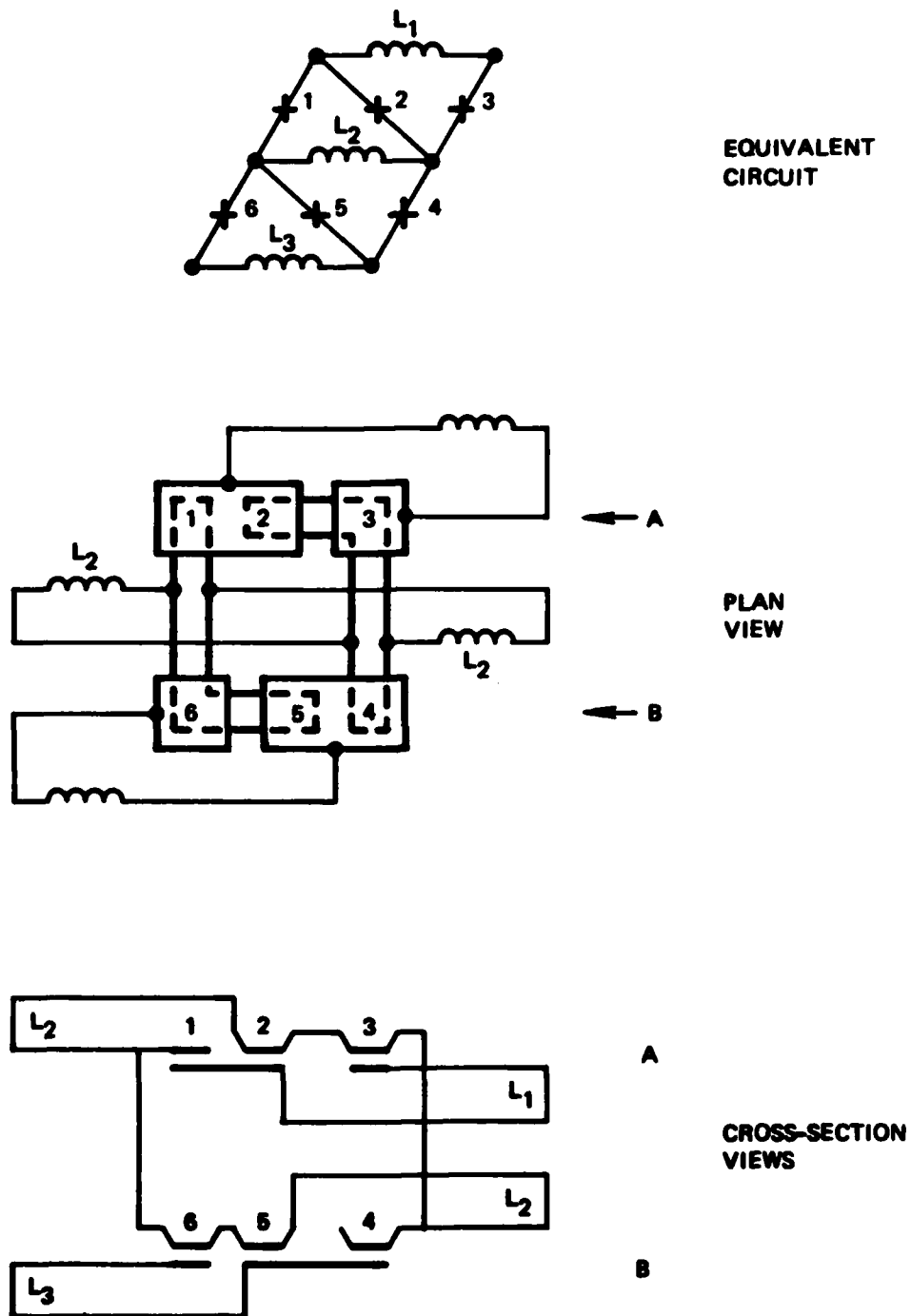


Figure 8. Diagram of the Transverse flux-flow SQUID array. A short array equivalent circuit is shown at the top of the figure. Plan and cross-section views are shown below, with the numbering of the junctions and inductors presented for clarity in interpreting the layout. The cross-section views A and B are displaced vertically to permit unobstructed views.

Abstract

We have investigated the SQUID as a voltage-controlled source of microwaves. The low impedance "resistive" SQUID can be a relatively high power ($\sim nW$), tunable, and monochromatic source for both on-chip and off-chip applications. Studies of the time-dependent junction phase and the available power spectra as they vary with such device parameters as loaded Q and the $SQUID-\beta=2\pi Li/\phi_0$ establish design rules for a well-behaved oscillator. For a $VCO \beta Q < 2$; for $\beta Q > 2$ degenerate parametric subharmonic oscillations and chaotic instabilities are observed. Power increase is suggested by the use of voltage-clamped dc SQUIDS and arrays.

Introduction

The superconducting voltage-controlled-oscillator (VCO) has potential applications as an integrated, on-chip source for such superconducting devices as analog-to-digital converters (sampling clock), rf SQUID magnetometers (excitation source), parametric amplifiers (pump source), quasiparticle mixers (local oscillator), and intracomputer communications. A source of sufficiently high power can be useful as an agile signal generator. This paper describes the SQUID VCO as the implementation of this source.

The impetus for development of microwave/millimeter wave signal generators in superconducting technology has its origin in the Josephson relations which predict a sinusoidal Josephson current under the application of a dc voltage which is linearly related to the Josephson frequency, $\omega_J = 2\pi V/\phi_0$. Unfortunately, problems of impedance and the dynamics of the junction phase have made it difficult to achieve the expected performance.

We argue here that the SQUID and SQUID arrays are the natural forms for a VCO. In order to achieve linear tuning, the source resistance must be small compared to the junction impedance. Such a small resistance connected directly across the junction would short out the Josephson oscillations, greatly reduce the signal voltage across the junction, and no power will be delivered to the load. However, in a resistive SQUID (Fig. 1) the voltage-biasing resistor is isolated from the junction by the SQUID inductance L . Thus, the dc voltage will be developed across the bias resistor, the ac voltage across the inductance, and the total voltage across the junction.

Tunnel junctions generally have lower internal conductance than microbridges, at least below the energy gap, and are desirable junctions to minimize

internal losses. The shunt capacitance of the tunnel junction, which is frequently considered a serious shunting impedance, can be effectively parallel-tuned by the SQUID inductance. The load resistance, together with the internal junction resistance, will determine the loaded Q of the VCO.

One expects the instantaneous signal bandwidth to be determined by the Johnson noise across the net (low frequency) resistance as a result of frequency modulation of the oscillator by the thermal voltage fluctuations. This has been predicted and verified for point contact devices¹ as $\delta f = 4\pi kT r / \phi_0^2 = 4.3 \times 10^{-10} r T$ for a biasing resistance r at temperature T . This value is independent of frequency to the extent that the bias current is stable and noise-free.

The stable operating mode of a low $\beta = 2\pi Li/\phi_0$ SQUID consists of regular flow of quantized flux ϕ_0 . The internal circulating power in the SQUID is essentially that of changing quantized flux in the inductance L at the oscillation frequency, $P_{int} = \phi_0^2 \omega_J / 4\pi L$, while the power delivered to the load resistance is V^2/R where $V = \phi_0 \omega_J / 2\pi$. Thus, the power in the load is $P_R = P_{int} / Q$ where $Q = R/\omega L$. Realistic values of L approximately 10^{-12} H project to $\sim 10^{-12}$ W of power near 10GHz, with power increasing linearly with frequency at constant Q . This relation for P_R should be valid as Q decreases until the VCO is sufficiently loaded by R to reduce the ac voltage. Fundamental to achieving these power levels is small L and small Q . This requirement leads directly to load resistance values $R = Q\omega L$ of the order of $10^{-1} \Omega$ for $Q=1$, $L \sim 10^{-12}$ H, and $f \sim 10$ GHz. Proper impedance matching is clearly necessary for both on-chip and off-chip applications. Coherent arrays of SQUIDS which will increase the total impedance can increase the available power as well as simplify the impedance matching.

Resistive SQUID

We have investigated the voltage-clamped SQUID in two forms: the resistive SQUID (Fig. 1a) and the dual resistive SQUID with common voltage-biasing resistor which we call the voltage-clamped dc SQUID (Fig. 1b). The dynamical response of the SQUID shown in Fig. 1a was simulated numerically to predict the operating characteristics of the SQUID VCO. We envision R to be the load to which power is delivered. This circuit obeys the relation

$$\ddot{\theta} + (\eta_R + \eta_I)\dot{\theta} + (1 + \eta_I \eta_R + \beta \cos \theta)\dot{\theta} + \eta_I \beta \sin \theta = \dot{\theta}_I + \eta_I \beta_I \quad (1)$$

where θ is the junction phase, $\eta_R = \sqrt{L/C}/RQ^{-1}$, $\eta_I = r/\sqrt{L/C}$, $\beta = 2\pi Li/\phi_0$, $\beta_I = 2\pi LI/\phi_0$, and time is measured in $1/\omega_J = \sqrt{LC}$. The voltage across the junction and load resistor R is $V = \phi_0 \dot{\theta} / 2\pi$. The last term on the right hand side of Eq. (1) is approximately the expected Josephson frequency ω_J/ω for $I > i_c$ and is equivalent to a voltage source (rf) in series with r ; the actual dc voltage across the junction is somewhat lower than rI and must be determined by either direct measurement or calculation of $\langle \theta \rangle$.

The simulations derived $\theta(t)$ and $\dot{\theta}(t)$ for selected values of η_I , η_R , β , and β_I with $\beta_I = 0$. The results are essentially independent of β_I for all reasonable values of interest and so $\eta_I = 10^{-3}$ is used in all calculations reported here. This means that $I = 10^{-3} i_c$ and, hence, $\beta_I = 10^{-3}$ at $\omega_J = \omega_0$.

The transient response is dominated by ω_0 , Q and ω_J . Figure 2 shows the time evolution of θ and $\dot{\theta}$ for $\beta=1$, $Q=1$ and 5 for selected values of the voltage bias. For $Q=1$, the turn-on transient is short

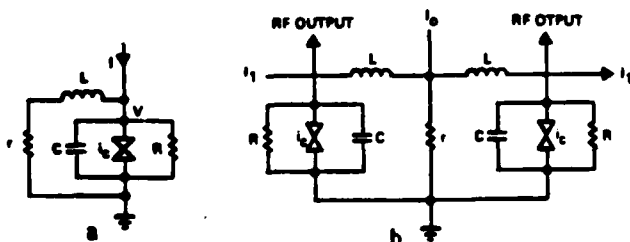


Figure 1. Equivalent circuit of SQUID VCO. (a) Resistive SQUID; (b) Voltage-clamped dc SQUID.

*Supported by the Office of Naval Research, Contract No. N00014-81-C-0615.

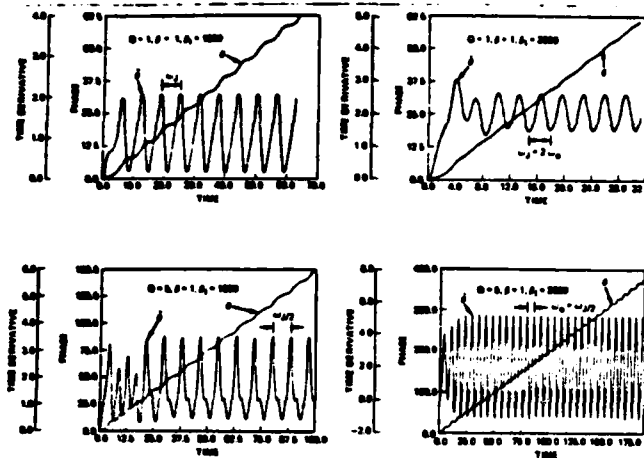


Figure 2. Selected transient response of θ and $\dot{\theta}$ for the resistive SQUID with $\beta=1$. Time is in units of ω_0 .

(approximately Q/ω_0) and associated with shock excitation of the resonant LC circuit, the fundamental frequency of the periodic response is ω_J , and the periodicity in a smoothly stepped θ is 2π . If the Q is raised to 5, the transient response is longer and the resonance frequency becomes further involved with the oscillation. At $\omega_J/\omega_0=1.5$ ($\beta_1=1500$), there is a strong subharmonic component evident in the time response. At $\omega_J/\omega_0=2$ ($\beta_1=2000$), the response appears to be totally at $\omega_0=\omega_J/2$, while for $Q=1$ there was no evident subharmonic response. Generally, for either large β or large Q , the response becomes very complex and even aperiodic. The values required are not 100's, but of the order of 5. Even for smaller values, peculiarities occur somewhere in the spectrum if $\beta Q > 2$.

In order to classify and quantify these measurements we have computed the power spectra for selected values of Q and β as a function of β_1 . These were computed over 100 periods of the fundamental frequency of the oscillator after a long time interval after turn on, approximately 10^3 cycles. The Fourier amplitudes, including the dc value of $\langle \theta \rangle$, were calculated. The zero frequency amplitude was then compared to the fundamental frequency which was first calculated in establishing the time interval for the numerical integration. In general the fundamental frequency is $\langle \dot{\theta} \rangle = \omega_J/n$, except for anharmonic cases for which no fundamental frequency was found. Since the Fourier analysis was performed by a Fourier series no spectra were determined for anharmonic cases.

The power delivered to the load resistance R at any frequency is $P = V_1^2/R$ where V_1 is the Fourier amplitude of the voltage at that frequency. The value computed numerically is $\langle \dot{\theta}_1^2 \rangle / Q$ which can be converted to physical units according to the relation

$$P_1 = \omega_0^2 \phi_0^2 / (2\pi)^2 L Q. \quad (2)$$

Figure 3 shows the dependence of the power delivered at ω_J for $\omega_J = \omega_0$ as a function of Q for $\beta=1$. The power has the expected maximum near $Q=3$, decreasing at high Q as Q^{-1} and at low Q because of loading of the SQUID. The maximum value of the power is approximately $1.124 \phi_0^2 \omega_0^2 / (2\pi)^2 L$. For design values $\omega_0 = 2\pi \times 9 \times 10^9$ and $L = 1 \mu H$, this corresponds to 12.9 nW. However, as we have seen, values of $Q > 2$ lead to some undesirable spectral response. Nevertheless, even for $Q=1$, the power is not even diminished by a factor of 2 from the peak value.

Figure 4 shows a compilation of the computed powers of the Josephson oscillations as a function of Q for $\beta=1$. In the regime $Q < 2$, the spectra are well

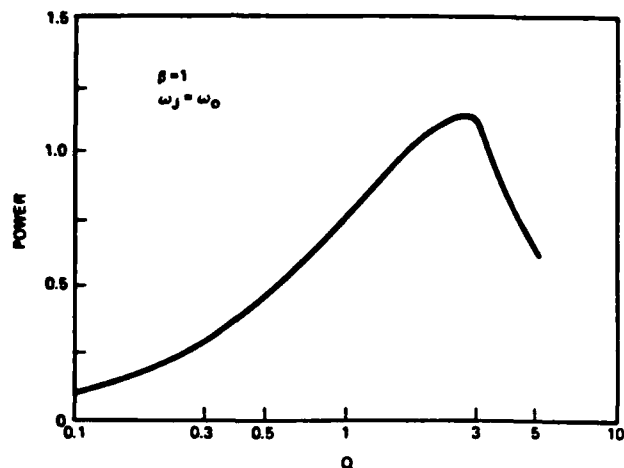


Figure 3. Q -dependence of the power delivered to the load at $\omega_J = \omega_0$ for $\beta=1$ in the resistive SQUID. Power is normalized to $[\omega_0 \phi_0^2 / (2\pi)^2 L]$.

behaved except for a subharmonic response at $\omega_J = 1.2\omega_0$, $Q=2$. For $Q > 2$, there is a very strong subharmonic response at $\omega_J = 2\omega_0$, and anharmonic response near $\omega_J = 1.2\omega_0$. For $2 < Q < 5$, the region near $\omega_J = 1.2\omega_0$ exhibits subharmonic behavior which is not plotted.

The strong subharmonic response when $\omega_J = 2\omega_0$, which occurs at such modest Q 's as 2.5, suggests degenerate parametric oscillations such as those predicted for the SQUID parametric amplifier². This is also suggestive of the period-doubling effects reported to visit nonlinear systems such as Josephson junctions. However, we also observe odd subharmonic responses for $Q=5$, $\beta=1$ which do not fall in the period-doubling class. An example of this is shown in Fig. 5 for $Q=5$, $\beta=1$, and $\beta_1=1100$.

These non-period-doubling subharmonics and the nonharmonic response occur in the general vicinity of $\omega_J = 1.2\omega_0$ for $Q=5$, $\beta=1$; Levinson³ has recently predicted odd-subharmonic response as the natural form of the instability in highly underdamped Josephson junctions. For simulations which were conducted over a wide range of values of ω_J , the nonharmonic and odd-harmonic response is very localized in ω_J , although we only studied relatively small values of Q . Inspection of $\theta(t)$ suggests that θ spends relatively more time near $(2n+1)\pi$ in this regime, compared to $2n\pi$ for the more well-behaved regime. Since the junction is basically

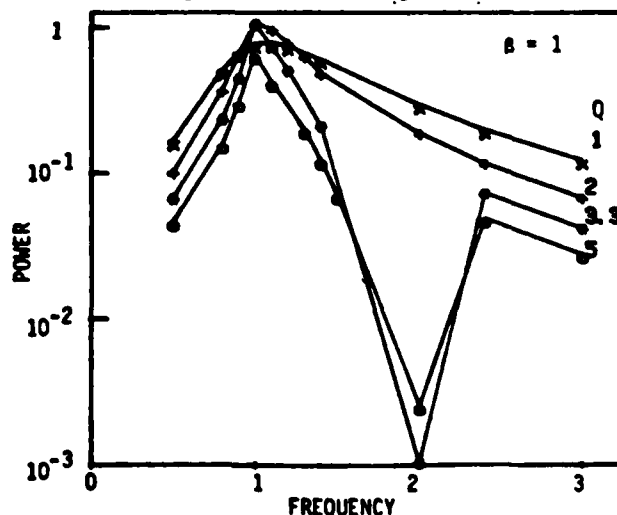


Figure 4. Frequency dependence of the power delivered to the load at ω_J for selected Q -values with $\beta=1$ in the resistive SQUID. Power is normalized to $[\omega_0 \phi_0^2 / (2\pi)^2 L]$.

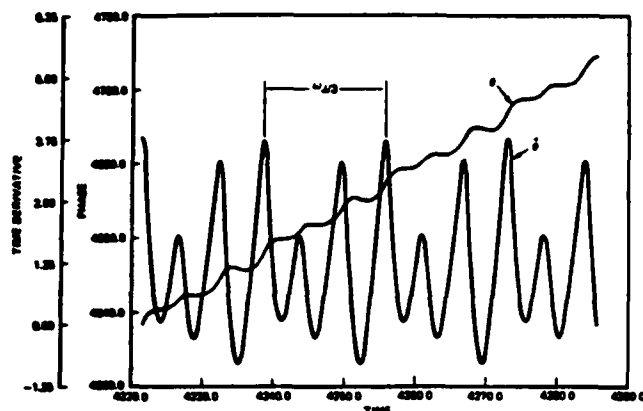


Figure 5. Computed transient response θ and $\dot{\theta}$ for the resistive SQUID with $\beta=1$, $Q=5$, and $\beta_L=1100$, corresponding to $\omega_J=1.1$. Time is measured in ω_0^{-1} .

unstable for principal values $\pi/2 < \theta < 3\pi/2$, this may be related to the instability.

The expected effect of increasing β at constant $Q=1$ is illustrated in the power spectra of Fig. 6. The bracketed symbols are the Josephson frequencies; the symbols connected by straight lines the predicted harmonics. Not all harmonic spectra are shown. Below $\beta=\pi$, all computed points are well behaved with some expected harmonics but no subharmonic response. For $\beta=\pi$, we observe subharmonics as we did for large Q values at $\beta=1$. For $\beta > \pi$, the time dependent response becomes even more complex and we have not computed spectral powers. Such β -values would be considered outside the normal range for a SQUID and moves toward the non-SQUID Josephson junction for which this analysis indicates very poor quality VCO.

Voltage-Clamped DC SQUID

The voltage-clamped dc SQUID of Fig. 1b can be recognized as a combination of two identical resistive SQUIDs with a common biasing resistor r . This leads to strong coupling of the two VCO's with identical frequencies but relative phases determined by the field current I_f . Two different modes of the coupled system are essentially a symmetric mode, with the two junctions in parallel, and an antisymmetric mode, with the two junctions in series. Maximum output power and impedance are achieved for the antisymmetric or series mode in which the circulating currents are in the same direction.

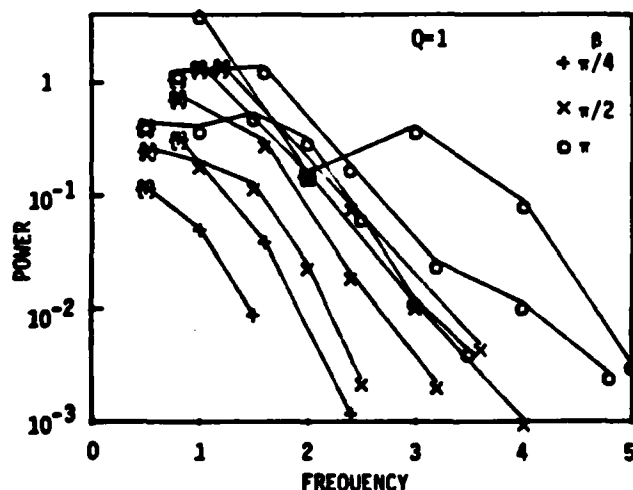


Figure 6. Harmonic spectra for the resistive SQUID with $Q=1$ and selected β .

We have carried out simulations of the symmetric voltage-clamped dc SQUID shown in Fig. 1b. The new parameters which define the device performance are $\beta = 2\pi I_f / \phi_0$, $\beta_L = 2\pi I_L / \phi_0$, $\theta = (\theta_R + \theta_L)/2$ and $\theta = (\theta_R - \theta_L)/2$. θ_R and θ_L refer to the phases of the right and left hand junctions, respectively, and we have defined β as $2\pi I_f / \phi_0$, where I is the inductance of one-half of the dc SQUID. In a limited number of simulations with $\beta=1$ and $\beta_L=0$, the dc SQUID behaves identically to the resistive rf SQUID with $\theta = \theta_L = 0$. The two junctions switch in-phase with one another and the resulting currents in each L add in the small resistance r . However, the voltage across the output ($2L$) is identically zero.

For $\beta = \pi/2$ and $\beta_L=1$, the computation shows that θ_- responds at the expected Josephson frequency while θ_+ responds at the second harmonic. This frequency doubling is a result of the alternate switching of the two junctions in each Josephson period. We have computed the response at $\beta = \pi/2$, $\beta_L=1$ for a range of Q from 1 to 5; the behavior of θ_- and θ_+ is very similar to that observed for θ_- in the resistive SQUID and will not be reproduced here.

SQUID Array VCO

Arrays of SQUIDs, driven coherently, can achieve further increase in power. Three types of arrays are possible: the longitudinal dc SQUID array, the transverse dc SQUID array, and the resistive SQUID bus. We discuss these briefly. Preliminary descriptions of the longitudinal and transverse dc SQUID arrays were given previously in connection with parametric amplification². Figure 7 shows the simple circuits for both the linear dc SQUID arrays, and for a two-dimensional array which combines the two linear arrays. Analysis of the longitudinal flux-flow array has shown that it is unstable with respect to the flux-flow mode which is the one required for the VCO. As Sandell, et al¹ have shown, this type of array can provide series increase in rf impedance although it requires large dc currents because of its parallel nature at dc. Furthermore, in a tunnel junction implementation as compared with microbridges, narrow linewidths will require small biasing resistors as in the voltage-clamped dc SQUID. The transverse array is recognized as a series array of dc SQUID pairs. It provides increased impedance at both rf and dc, offering a reduction in the direct current required. Again, narrow linewidths will require small biasing resistors. It would be undesirable to fabricate large arrays requiring large numbers of carefully matched small resistors.

A method of avoiding the resistor network and producing a high power array is the resistive SQUID bus as shown in Fig. 7. It is an extension of the voltage-clamped dc SQUID to N resistive SQUIDs with a common voltage-biasing resistor. This could be readily accomplished in a thin film format with a pillbox resistor at the center of a radial array of SQUIDs. These might drive a coaxial line to an off-chip load.

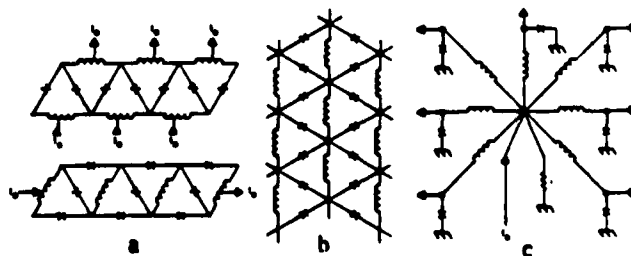


Figure 7. Schematic diagrams of SQUID arrays. (a) Longitudinal (upper) and transverse (lower) flux-flow arrays; (b) Two dimensional array; (c) SQUID bus.

On-chip, the bus can be used to drive many different loads which require coherent input, as in clocking a shift register or driving an array of mixers. If one supplies internal phase shifts of π between adjacent elements (as in the dc SQUID), then one can supply alternate out-of-phase signals. In either case, coherence is guaranteed by the common bias resistor and superconducting phase coherence.

Summary

The SQUID is the natural form for signal generation via the Josephson effect. While the bare Josephson junction suffers from both chaotic instabilities and low impedance, its incorporation in a low β , low Q SQUID tunes out the junction capacitance and controls the instability by directly harnessing the periodic nature of the junction phase to the quantum periodicity of the SQUID magnetic flux. This results in an efficient conversion of the Josephson energy to the circulating current in the SQUID inductance and, hence, to external circuitry. The power is maximized by minimizing the inductance, although at further reduction in source impedance. This last problem is alleviated by forming a priori coherent, stable arrays of SQUIDs to increase both the total power and impedance. Such arrays have the voltage-clamped dc SQUID as the basic cell. The design presented makes minimum demands on both lithography and Josephson current density.

Several experimental results have been reported in recent years which pertain to this device. The group at SUNY have studied microbridge VCO's, including pairs and arrays. The most successful results were in the dc SQUID-like arrays reminiscent of Fig. 7a, although the SQUID inductances were very large compared to the values suggested here. Calander and Zappe proposed using a dc SQUID as a tunable oscillator for intracomputer communication in a Josephson processor. They predicted $\sim 1 \mu W$ of power at 500 GHz. Tuckerman demonstrated this concept by connecting a dc SQUID transmitter with another dc SQUID receiver by a superconducting microstripline. The transmitted microwave signals were readily detected. Calendar, et al¹⁰ demonstrated a resistive SQUID VCO incidentally to their self-pumped Josephson parametric amplifier. Using a SQUID with $\beta=2$, $L=3 \mu H$, $r=3 \times 10^{-2} \Omega$, and a 10 matching transformer, they observed 0.15 nW at 10.4 GHz with a bandwidth of 150 MHz. This linewidth is much greater than the thermal-noise-limited value, 5 MHz, and is reportedly broadened by noise in the bias current. Assuming that the average power is diminished by the same factor as the line broadening, one could have expected as much as 4.5 nW, in excellent agreement with the predictions of the model.

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